LAWYERS PROFESSIONAL LIABILITY INSURANCE POLICY

INSURANCE APPLICATION

NOTICE: THE POLICY BEING APPLIED FOR IS A CLAIMS MADE POLICY WHICH APPLIES ONLY TO CLAIMS FIRST MADE DURING THE POLICY PERIOD OR ANY EXTENDED REPORTING PERIOD. THE LIMIT OF LIABILITY AVAILABLE TO PAY DAMAGES WILL BE REDUCED AND MAY BE EXHAUSTED BY CLAIMS EXPENSES AND CLAIMS EXPENSES WILL BE APPLIED AGAINST THE RETENTION AMOUNT. IN NO EVENT WILL THE INSURER BE LIABLE FOR CLAIMS EXPENSES OR DAMAGES IN EXCESS OF THE APPLICABLE LIMIT OF LIABILITY. READ THE ENTIRE APPLICATION CAREFULLY BEFORE SIGNING.

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Address*						City/S	State/Z	ip			
Website						Emai	1				
*Physical Ada	lress required					Year	Establi	shed			
2. (a) LAWYER	INFORMAT	TION: Include all	contract at	ttorneys,	counse	l and of-c	counsei	<i>l</i> : (b) NON- AT	TORN	EY STA	FF:
				Av	erage H	ours					
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Attorney	Name	Admitted	Date	0-5	6-24	25+			Pai	alegals	
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5. AREAS OF PRACTICE: Indicate the percentage of gross revenue from each area of practice during the past 12 months.

TOTAL OF <u>ALL</u> AREAS OF PRACTICE MUST = 100%

TRANSACTIONAL ARE	EAS OF PRA	ACTICE (excludes Real Estate and Litigation)	
Administrative Law Narrative Required	%	Government – Federal & State	%
Admiralty / Maritime	%	Government - Municipal (No Bonds)	%
Antitrust / Trade Regulation	%	Immigration	%
Bankruptcy - Creditor	%	Insurance Coverage Opinions	%
	%	Intellectual Property - Domestic Copyright/Trademark	%
Bankruptcy – Debtor		AOP Supplement Sec. IV Required	
Civil Rights/Discrimination	%	Intellectual Property - Foreign Copyright/Trademark	%
Collections Collections Supplement Required	%	Intellectual Property – Patent (including litigation)	%
Commercial Law/Business/Contracts (Excluding			%
Corporate/Construction) Narrative Required	%	International Law Narrative Required	
Commercial Law/Business/Contracts - Construction			%
(Excluding Litigation)	%	Mediation/Arbitration (No Securities or FINRA)	
Communications (FCC)	%	Public Utilities	%
	%	Securities-Private Placements	%
Corporate – Formation		AOP Supplement Sec. VI Required	
	%	Securities-Public, State, Federal, Bonds	%
Corporate – General / Contracts		AOP Supplement Sec. VI Required	
Corporate – Mergers & Acquisitions	%	Taxation – Corporate	%
Criminal	%	Taxation – Individual	%
Elder Law / Social Security	%	Taxation – Other <i>Narrative Required</i>	%
Employment – Labor Law – Union	%	Taxation – Tax Shelter Advice / Opinions	%
Employment – Labor Law - Management	%	Trust/Estates/Probate/Wills: asset values less than \$1m	%
Employment - Other	%	Trust/Estates/Probate/Wills: asset values \$1m to \$5m	%
Entertainment /Sports		Trust /Estates/Probate/Wills: asset values \$5m +	%
AOP Supplement Sec. I Required	%	AOP Supplement Sec. III Required	
Environmental/Oil & Gas		Other Transactional Areas of Practice (excluding Real Estate,	%
AOP Supplement Sec. II Required	%	Foreclosure and Litigation): Details:	
ERISA/Pension	%		
Family Law – asset values less than \$1m	%		
Family Law – asset values \$1m to \$5m	%		
Family Law – asset values \$5m+	%		

LITIGATION AREAS OF PRACTICE (excludes Real Estate and Foreclosure)						
Practice Area	Plaintiff	Defense	Practice Area	Plaintiff	Defense	
Auto, Slip & Fall, Dog Bite	%	%	Legal Malpractice Litigation	%	%	
Commercial Litigation Narrative Required	%	%	Medical Malpractice Litigation	%	%	
Construction Litigation (excluding Defect)	%	%	Workers Compensation Litigation	%	%	
Construction Defect Litigation	%	%	Other Litigation Areas of Practice	%	%	
General Civil Litigation Narrative Required	%	%	(excluding Real Estate): Details:			
Insurance Bad Faith Litigation	%	%				
Insurance Litigation (excluding Bad Faith)	%	%				

REAL ESTAT	E (including Lit	igation and Forec	elosure)	
Practice	Percentage	Total # of Transactions	Average Value	Maximum Value
a. Purchase & Sale – Commercial	%		\$	\$
Residential	%		\$	\$
b. Development (Syndications/Limited or General Partnerships/Condo or Co-ops/Property Valuation)	%		\$	\$
c. Mortgages, Refinancing and Loan Workouts	%		\$	\$
d. Foreclosures	%		\$	\$
e. Title Searches / Document Preparation	%			
f. Landlord/Tenant	%		NOTE: All transacti	on amounts and values
g. Litigation (non-foreclosure)	%		must be complete	d where requested.
h. Municipal Zoning and Tax Appeals	%			
i. Other Real Estate Areas of Practice:	%	Details:		

6. Over the past 12 months what% Plaintiff Class Act		% Defense Class Action/Mass T	ort		N/A – No Litigation
% Foreclosure (Defer	nse)	% Foreclosure (Lender Represen	ntation)) <u> </u>	N/A – No Foreclosure
7. With respect to litigation cas	ses over the past 1	2 months, what was:			
The average case value? \$		The maximum case value? \$			N/A – No litigation
3. Does the law firm provide an <i>If yes, provide narrative del</i>		outside of the United States?			Yes No
		ed to any of the following areas of pract	ice in	the pas	et five (5) years?
If yes, provide narrative des Entertainment (advice,		rances litigation etc.)			Yes No
		inions, pollution, cleanup, litigation, etc	. `	片	Yes No
Investment Advice or (inions, ponution, cicanup, nugation, etc	••)	님	Yes No
Patent (prosecution, file	•	igation ata)		님	Yes No
Tax Shelter Advice or		igation, etc.)		님	Yes No
1031 Tax Exchanges	Opinions			님	Yes No
Prepaid Legal Services					Yes No
Trepaid Legal Services	•				163 [110
10. Narrative: If narrative detai	ils requested in ar	y prior question provide details here. A	ttach s	eparate	e sheet if needed:
If yes to any of the following ple loss runs for the past five (5) po (a) Has any attorney been the subj proceeding within the past 5 ye	ease complete the A plicy terms. ject of any bar comp ears? ed, suspended or ref		pplemen YES	nt and p NO	rovide current carrier IF YES How many complaints?
 If yes to any of the following ple loss runs for the past five (5) po (a) Has any attorney been the subj proceeding within the past 5 ye (b) Has any attorney been disbarre association, court or administration. (c) Is there any criminal conviction. 	ease complete the A blicy terms. ject of any bar comp ears? ed, suspended or ref ative agency? on or pending crimin	Illied World Claims/Discipline/Criminal Supplaint, investigation or disciplinary fused admission to the bar by any bar	YES	NO	IF YES How many
If yes to any of the following ple loss runs for the past five (5) por (a) Has any attorney been the subj proceeding within the past 5 ye (b) Has any attorney been disbarred association, court or administration. (c) Is there any criminal conviction investigation against any attorney (d) Is any attorney or non-attorney	ease complete the A plicy terms. ject of any bar compears? ed, suspended or refative agency? on or pending criminey? y staff aware of any	Illied World Claims/Discipline/Criminal Supplaint, investigation or disciplinary fused admission to the bar by any bar	YES	NO	IF YES How many complaints? How many
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If yes to any of the following ple loss runs for the past five (5) polloss runs for the past 5 yea. (a) Has any attorney been disbarre association, court or administration investigation against any attorney investigation against any attorney attorneys within the past 5 yea. (b) Does any attorney or non-attorney attorneys within the past 5 yea. (c) Does any attorney or non-attorneys within the past 5 yea. (d) Is any attorney or non-attorneys within the past 5 yea. (e) Does any attorney or non-attorneys within the past 5 yea. (e) Does any attorney or non-attorneys within the past 5 yea. (e) Does any attorney or non-attorneys within the past 5 yea. (e) Does any attorney or non-attorneys within the past 5 yea.	ease complete the A plicy terms. ject of any bar completes: ed, suspended or refative agency? on or pending criminal ney? y staff aware of any rs? rney staff know of a ct to an attorney, a r non-attorney staff a night be expected to attorneys or any pro-	plaint, investigation or disciplinary rused admission to the bar by any bar nal indictment, proceeding or claims against the law firm or its ny acts, circumstances, errors or easonable person engaged in the practice reasonable person employed in the legal be the basis of a professional liability	YES	NO regard.	IF YES How many complaints? How many claims? How many potential claims?
If yes to any of the following ple loss runs for the past five (5) por loss loss runs for the past 5 years association, court or administration against any attorney investigation against any attorney attorneys within the past 5 years (e) Does any attorney or non-attorney or loss loss loss loss loss loss loss l	ease complete the A plicy terms. ject of any bar complears? ed, suspended or refative agency? on or pending criminary? y staff aware of any res? mey staff know of a ct to an attorney, a randon-attorney staff a night be expected to attorneys or any prefative to a cover that such a professioner, any claim are	plaint, investigation or disciplinary fused admission to the bar by any bar hal indictment, proceeding or claims against the law firm or its hay acts, circumstances, errors or easonable person engaged in the practice reasonable person employed in the legal be the basis of a professional liability edecessor law firm or attorney? laim must be disclosed in response to this Q essional liability claim is likely to be made of	YES	NO	IF YES How many complaints? How many claims? How many potential claims?
If yes to any of the following ple loss runs for the past five (5) por loss any attorney been disbarred association, court or administration association, court or administration against any attorney loss any attorney or non-attorney attorneys within the past 5 years (e) Does any attorney or non-attorney or loss any attorney or non-attorney of law; or (ii) with respect of law; or (ii) with respect to non-profession; would recognize more claim against the law firm, its afterney or non-attorney staff believe. Without limiting the rights of the Interval in the loss runs for the loss r	ease complete the A plicy terms. ject of any bar complears? ed, suspended or refative agency? on or pending criminary? y staff aware of any res? mey staff know of a ct to an attorney, a randon-attorney staff a night be expected to attorneys or any prefative to a cover that such a professioner, any claim are	plaint, investigation or disciplinary fused admission to the bar by any bar hal indictment, proceeding or claims against the law firm or its hay acts, circumstances, errors or easonable person engaged in the practice reasonable person employed in the legal be the basis of a professional liability edecessor law firm or attorney? laim must be disclosed in response to this Q essional liability claim is likely to be made of	YES	NO	IF YES How many complaints? How many claims? How many potential claims?

12. NOTICES AND REPRESENTATIONS

The undersigned authorized representative of the Applicant declares that the statements set forth herein are true, and reasonable effort has been made to obtain sufficient information from all persons proposed for this insurance to facilitate the accurate completion of the Application.

The undersigned authorized representative agrees that if the information supplied on this Application changes between the date of this Application and the effective date of the insurance, he/she will, in order for the information to be accurate on the effective date of the insurance, immediately notify the Insurer of such changes, and the Insurer may withdraw or modify any outstanding quotations or agreement to bind insurance.

The submission of this Application by the Applicant to the Insurer or signing of this Application by or on behalf of the Applicant does not obligate the Insurer to issue the insurance requested. It is agreed that this Application shall be the basis of the contract if a policy is issued and shall be deemed to be attached to, incorporated into and become a part of, the policy.

ALL WRITTEN STATEMENTS AND MATERIALS FURNISHED TO THE INSURER IN CONJUNCTION WITH THIS APPLICATION ARE HEREBY INCORPORATED BY REFERENCE INTO THIS APPLICATION AND MADE A PART HEREOF. NOTHING CONTAINED HEREIN OR INCORPORATED HEREIN BY REFERENCE SHALL CONSTITUTE NOTICE OF A CLAIM OR POTENTIAL CLAIM SO AS TO TRIGGER COVERAGE UNDER ANY CONTRACT OF INSURANCE.

NOTICE TO ALABAMA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO RESTITUTION FINES OR CONFINEMENT IN PRISON, OR ANY COMBINATION THEREOF."

NOTICE TO ARKANSAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT, OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO COLORADO APPLICANTS: "IT IS UNLAWFUL TO KNOWINGLY PROVIDE FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES, DENIAL OF INSURANCE AND CIVIL DAMAGES. ANY INSURANCE COMPANY OR AGENT OF AN INSURANCE COMPANY WHO KNOWINGLY PROVIDES FALSE, INCOMPLETE, OR MISLEADING FACTS OR INFORMATION TO A POLICYHOLDER OR CLAIMANT FOR THE PURPOSE OF DEFRAUDING OR ATTEMPTING TO DEFRAUD THE POLICYHOLDER OR CLAIMANT WITH REGARD TO A SETTLEMENT OR AWARD PAYABLE FROM INSURANCE PROCEEDS SHALL BE REPORTED TO THE COLORADO DIVISION OF INSURANCE WITHIN THE DEPARTMENT OF REGULATORY AGENCIES."

NOTICE TO DISTRICT OF COLUMBIA APPLICANTS: "WARNING: IT IS A CRIME TO PROVIDE FALSE OR MISLEADING INFORMATION TO AN INSURER FOR THE PURPOSE OF DEFRAUDING THE INSURER OR ANY OTHER PERSON. PENALTIES INCLUDE IMPRISONMENT AND/OR FINES. IN ADDITION, AN INSURER MAY DENY INSURANCE BENEFITS IF FALSE INFORMATION MATERIALLY RELATED TO A CLAIM WAS PROVIDED BY THE APPLICANT."

NOTICE TO FLORIDA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO INJURE, DEFRAUD, OR DECEIVE ANY INSURER FILES A STATEMENT OF CLAIM OR AN APPLICATION CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY OF THE THIRD DEGREE."

NOTICE TO HAWAII APPLICANTS: "FOR YOUR PROTECTION, HAWAII LAW REQUIRES YOU TO BE INFORMED THAT PRESENTING A FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OF BENEFIT IS A CRIME PUNISHABLE BY FINES OR IMPRISONMENT, OR BOTH."

NOTICE TO KENTUCKY APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS, FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME."

NOTICE TO LOUISIANA APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO MAINE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

NOTICE TO MARYLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY OR WILLFULLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR WHO KNOWINGLY AND WILLFULLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO NEW JERSEY APPLICANTS: "ANY PERSON WHO INCLUDES ANY FALSE OR MISLEADING INFORMATION ON AN APPLICATION FOR AN INSURANCE POLICY IS SUBJECT TO CRIMINAL AND CIVIL PENALTIES."

NOTICE TO NEW MEXICO APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO CIVIL FINES AND CRIMINAL PENALTIES."

NOTICE TO NEW YORK APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION, CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO, COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME, AND SHALL ALSO BE SUBJECT TO A CIVIL PENALTY NOT TO EXCEED FIVE THOUSAND DOLLARS AND THE STATED VALUE OF THE CLAIM FOR EACH SUCH VIOLATION."

NOTICE TO OHIO APPLICANTS: "ANY PERSON WHO, WITH INTENT TO DEFRAUD OR KNOWING THAT HE IS FACILITATING A FRAUD AGAINST AN INSURER, SUBMITS AN APPLICATION OR FILES A CLAIM CONTAINING A FALSE OR DECEPTIVE STATEMENT IS GUILTY OF INSURANCE FRAUD."

NOTICE TO OKLAHOMA APPLICANTS: "WARNING: ANY PERSON WHO KNOWINGLY, AND WITH INTENT TO INJURE, DEFRAUD OR DECEIVE ANY INSURER, MAKES ANY CLAIM FOR THE PROCEEDS OF AN INSURANCE POLICY CONTAINING ANY FALSE, INCOMPLETE OR MISLEADING INFORMATION IS GUILTY OF A FELONY (365:15-1-10, 36 §3613.1)."

NOTICE TO OREGON APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD OR SOLICIT ANOTHER TO DEFRAUD AN INSURER: (1) BY SUBMITTING AN APPLICATION, OR (2) BY FILING A CLAIM CONTAINING A FALSE STATEMENT AS TO ANY MATERIAL FACT, MAY BE VIOLATING STATE LAW."

NOTICE TO PENNSYLVANIA APPLICANTS: "ANY PERSON WHO KNOWINGLY AND WITH INTENT TO DEFRAUD ANY INSURANCE COMPANY OR OTHER PERSON FILES AN APPLICATION FOR INSURANCE OR STATEMENT OF CLAIM CONTAINING ANY MATERIALLY FALSE INFORMATION OR CONCEALS FOR THE PURPOSE OF MISLEADING, INFORMATION CONCERNING ANY FACT MATERIAL THERETO COMMITS A FRAUDULENT INSURANCE ACT, WHICH IS A CRIME AND SUBJECTS SUCH PERSON TO CRIMINAL AND CIVIL PENALTIES."

NOTICE TO RHODE ISLAND APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

NOTICE TO TENNESSEE APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO TEXAS APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR THE PAYMENT OF A LOSS IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN STATE PRISON."

NOTICE TO VERMONT APPLICANTS: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE STATEMENT IN AN APPLICATION FOR INSURANCE MAY BE GUILTY OF A CRIMINAL OFFENSE AND SUBJECT TO PENALTIES UNDER STATE LAW."

NOTICE TO VIRGINIA APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO WASHINGTON APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES INCLUDE IMPRISONMENT, FINES AND DENIAL OF INSURANCE BENEFITS."

NOTICE TO WEST VIRGINIA: "ANY PERSON WHO KNOWINGLY PRESENTS A FALSE OR FRAUDULENT CLAIM FOR PAYMENT OF A LOSS OR BENEFIT OR KNOWINGLY PRESENTS FALSE INFORMATION IN AN APPLICATION FOR INSURANCE IS GUILTY OF A CRIME AND MAY BE SUBJECT TO FINES AND CONFINEMENT IN PRISON."

LPL 00007 00 (11/2013)

NOTICE TO ALL OTHER APPLICANTS: "IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS."

This application must be signed and dated by an Owner, Partner or Principal as duly authorized on behalf of the Applicant.

The undersigned authorized representative of the Applicant declares that the statements set forth herein are true, and reasonable effort has been made to obtain sufficient information from all persons proposed for this insurance to facilitate the accurate completion of the Application.

Signature of Owner, Partner or Principal

Date

Title

License Number